



Elliott M. Bacon

Partner

Financial Markets Litigation and Enforcement

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Elliott Bacon helps clients in the financial services industry facing litigation and enforcement, white collar matters, internal investigations and other complex commercial litigation. He also represents and advises clients in a variety of securities, antitrust, and regulatory matters. He has extensive experience relating to swaps, futures and other complex derivatives; the equities markets, including short selling and stock lending; and cryptoassets.

Excellence in litigation and investigations

Elliott's practice includes defending financial services clients in class action litigation as well as in investigations and enforcement proceedings before financial regulators, such as the Securities and Exchange Commission, the Commodity Futures Trading Commission and FINRA. He brings with him a deep understanding of financial products and trading practices.

Elliott graduated first in his class from the University of Illinois College of Law, received the Rickert Award for Academic Excellence, and received 11 CALI Awards for Excellence. During law school, Elliott was the executive production editor of the *University of Illinois Law Review*, a research assistant to Professor Laurie Reynolds, a teaching assistant for Property and Legal Writing, and a judicial extern for the Honorable Blanche M. Manning of the US District Court for the Northern District of Illinois.

Elliott also was a judicial clerk for the Honorable Joan H. Lefkow of the US District Court for the Northern District of Illinois.

Representative Experience

- Represent large swap dealer and futures commission merchant in antitrust class action and related litigation and regulatory investigation involving swaps trading.
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Practices

- Financial Markets and Funds
- Financial Markets Litigation and Enforcement
- Litigation

Education

- JD, University of Illinois College of Law, *summa cum laude*, Order of the Coif
- BA, Georgetown University

Bar Admissions

- Illinois

Court Admissions

- US District Court, Northern District of Illinois

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- Represent former CEO of publicly traded company in securities class action, related litigation and related regulatory investigation.
- Represent privately held company in law enforcement investigation and antitrust class action.
- Provide advice on domestic and international law enforcement and regulatory inquiries relating to cryptoassets.

Recognitions

Recognized or listed in the following:

- *Super Lawyers Rising Stars*
 - Illinois, 2018, 2020–2024
- *Best Lawyers in America*
 - Ones to Watch
 - Antitrust Law, 2023–2025
 - Commercial Litigation, 2021–2025

News

- Katten Attorneys Recognized as *Best Lawyers®* and *Best Lawyers: Ones to Watch®* Award Recipients (August 15, 2024)
- Katten Attorneys Named to 2024 Illinois Super Lawyers, Rising Stars Lists (January 25, 2024)
- Katten Attorneys Distinguished by *Best Lawyers®* (August 17, 2023)
- Katten Attorneys Selected to 2023 Illinois Super Lawyers, Rising Stars Lists (January 24, 2023)
- Katten Attorneys Recognized by *Best Lawyers®* (August 18, 2022)
- Katten Attorneys Named to 2022 Illinois Super Lawyers, Rising Stars Lists (January 28, 2022)
- Katten Attorneys Listed in *The Best Lawyers in America®* (August 19, 2021)
- Katten Promotes 16 Attorneys to Partner (July 7, 2021)
- Katten Attorneys Chosen for 2021 Illinois Super Lawyers, Rising Stars Lists (January 29, 2021)
- Katten Attorneys Distinguished as Top Legal Talent in the 2021 *Best Lawyers in America* and *Best Lawyers: Ones to Watch* Lists (August 20, 2020)

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- Katten Attorneys Selected to 2020 Illinois Super Lawyers, Rising Stars Lists (January 29, 2020)
- Katten Attorneys Recognized in 2018 Illinois Super Lawyers, Rising Stars Lists (January 25, 2018)

Publications

- *United States ex rel. Polansky v. Executive Health Resources, Inc, et al.*: Supreme Court Clarifies Standard Under Which Government Can Intervene and Dismiss FCA Actions (June 16, 2023)
- Financial Markets and Funds Quick Take | Issue 5 (August 16, 2022)
- Second Circuit Rejects Probabilistic Pleading to Allege Actual Damage in Spoofing Cases (August 1, 2022)