



# Alexa K. Rollins

Associate

Capital Markets

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Alexa Rollins represents and counsels public and private companies on securities regulations, corporate governance, including Environmental, Social and Governance (ESG) matters, and capital markets and other securities transactions, such as initial public offerings, going-private transactions, and U.S. registration matters.

## Dedicated to client service

Alexa is dedicated to providing top service to her clients. By developing a deep understanding of clients' businesses, she is able to maintain long-standing relationships with clients and provide legal counsel that specifically addresses each client's unique needs.

Thus far, Alexa has worked with clients in various industries, including food and beverage, education, and technology, as well as in diverse markets, spanning from North America to Europe to Australia. Such clients include domestic and international public and private companies and their respective boards and officers, whom she advises on securities law compliance, stock exchange requirements, corporate governance issues, proxy advisory firms, and ESG compliance and reporting.

## Dedicated to public service

In addition to aiding clients in a variety of corporate transactions, Alexa maintains an active pro bono practice in which she advises nonprofit organizations on board governance and filing requirements and represents victims of domestic violence and asylum seekers, among others.

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Prior to joining Katten, Alexa worked as a Law and Policy intern at the Rape, Abuse & Incest National Network (RAINN), as a paralegal at a small family law firm, and as a legislative fellow for a Florida state senator.

## Practices

- Capital Markets
- Corporate
- ESG Litigation and Sustainability Compliance
- ESG and Sustainable Investing
- Environmental, Social and Governance

## Education

- JD, The University of Chicago
- BA, University of Florida, *cum laude*

## Bar Admissions

- Illinois

## Community Involvements

- American Bar Association
- Illinois State Bar Association

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During law school, Alexa served as the Managing Editor of *The University of Chicago Legal Forum*, as President of the Domestic and Sexual Violence Project, as Co-Programming Director of the Human Rights Law Society, and as Communications Director of the Latinx Law Students Association. She also advised international bodies through research reports and fact-finding missions at the International Human Rights Clinic.

## Publications

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- SEC Accelerates Beneficial Ownership Filing Deadlines but Holds Off on Other Changes (November 7, 2023)
- Privacy, Data and Cybersecurity *Quick Clicks* | Issue 10 (October 2023)
- *ESG Guidepost* | Issue 6 (September 2023)
- California Passes Two Climate Disclosure Laws That Will Require Unique, Public Reporting of Carbon Emissions and Climate Risk (September 26, 2023)
- Financial Markets and Funds Quick Take | Issue 15 (June 13, 2023)
- SEC Adopts T+1 Settlement Cycle (May 24, 2023)
- *Capital Markets Compass* | Issue 6 (May 2023)
- *Capital Markets Compass* | Issue 3 (May 3, 2022)
- Patrolling Pregnant Immigrant Detainees' Bodies | *University of Chicago Legal Forum* (2019)
- [Corporate & Financial Weekly Digest](#) (Weekly) | [Author](#)

## Presentations and Events

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- Latest Transatlantic Developments Webinar: Compliance With and Potential Risks of Climate Disclosures for Private and Public Companies (December 5, 2023)