



# Alexa Warner

## Associate

### Financial Markets Litigation and Enforcement

Charlotte Office | +1.704.344.3206

alexa.warner@katten.com

Alexa Warner represents clients engaged in complex commercial litigation and alternative dispute resolution.

#### Dedication to clients for effective advocacy

Alexa has extensive experience in representing clients before arbitration tribunals, as well as in federal and state courts. She works closely with her clients to understand their needs and objectives, and provides strategic advice, vigorous advocacy and practical solutions.

#### Representative Experience

- Achieved favorable results for financial institutions in multiple FINRA and NFA arbitrations.
- Achieved favorable result in Delaware Chancery Court mediation for pharmaceutical manufacturing client in dispute with pharmaceutical company.\*
- Advised audit committee with regard to advancement and indemnification obligations as to former officers.\*
- Represented client before Illinois Ethics Commission with regard to sexual harassment claim.\*
- Acted as second chair to prove-up hearing in support of entry of judgment for \$6 million default judgment in defamation case in California state court.\*
- Advised on internal investigations and civil cases across multiple disciplines, including complex commercial disputes, securities fraud, white collar criminal defense, class actions and healthcare litigation.\*
- Assisted trial counsel to the Illinois Judicial Inquiry Board in order to prosecute cases before the Illinois Courts Commission.\*

\*Experience prior to Katten

#### Publications

- Privacy, Data and Cybersecurity *Quick Clicks* | Issue 6 (June 2023)

#### Practices

- Artificial Intelligence
- Commercial Litigation
- Financial Markets Litigation and Enforcement

#### Industries

- Finance and Financial Markets

#### Education

- JD, Northwestern University, *cum laude*
- BA, Loyola Marymount University, *magna cum laude*

#### Bar Admissions

- North Carolina
- Illinois
- South Carolina

#### Court Admissions

- US Supreme Court
- US District Court, Northern District of Illinois
- US District Court, Western District of North Carolina

# Alexa Warner

Associate

---

- Is Your AI Intelligent Enough? The CFPB Says It Will Actively Police the Use of Chatbots and Warns of Risks (June 15, 2023)
- *ESG Guidepost* | Issue 1 (April 27, 2023)