



# Neal K. Patel

Associate

Employee Benefits and Executive Compensation

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Neal Patel advises clients on executive compensation and employee benefits matters related to mergers, acquisitions and other ongoing compensation-related transactions and needs, including compliance with Internal Revenue Code Section 409A and requirements related to Section 280G golden parachute payments. He also assists clients with the design and implementation of equity-based incentive plans and awards, profits interest grants in partnership and LLC arrangements, and executive and nonexecutive employment, severance, retention and change-in-control programs and agreements.

Neal also advises clients regarding Securities and Exchange Commission rules governing compensation and benefits-related disclosures, as well as compliance with applicable rules under the New York Stock Exchange and Nasdaq listing standards.

## Commitment to client service

Prior to Katten, Neal extensively studied employee benefits and executive compensation through Georgetown Law in order to expose himself to the fundamentals of this practice area. He attributes his strong legal writing skills from his time as the managing editor of notes for the *Georgetown Journal of Legal Ethics*.

## News

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- Katten Represents Buyers Acquiring Controlling Ownership Interest in Dallas Mavericks (January 4, 2024)
- Katten Represents Highlander Partners in Benestar Brands Merger With Palmex (December 18, 2023)
- Katten Represents Shorehill in Sale of Power Grid Components to Blackstone (December 14, 2023)

## Practices

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- Employee Benefits and Executive Compensation
- Executive Compensation and Employee Benefits in Corporate Transactions
- Health Care Services Private Equity
- Mergers and Acquisitions
- Private Equity

## Industries

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- Sports and Sports Facilities

## Education

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- JD, Georgetown University Law Center
- BS, Emory University

## Bar Admissions

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- New York

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- Katten Represents Buyer Group in Majority Stake Purchase of Charlotte Hornets (September 6, 2023)

## Publications

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- See Katten's Model Clawback Policy as Mandatory Rules Take Effect (October 2, 2023)
- SEC Clarifies 'Pay versus Performance' Disclosure Rules (March 10, 2023)
- Capital Markets Update in Brief (February 15, 2023)
- *Capital Markets Compass* | Issue 5 (February 15, 2023)