



# Rong Zhao

## Counsel

### Financial Markets and Funds

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**Rong Zhao advises investment managers, trading firms, institutional investors and others in the financial services industry on transactional, corporate and regulatory matters.**

#### **Providing insights on investments, hedge funds and joint ventures**

Rong is highly skilled in the organization and operation of hedge funds, single-investor, private equity funds and joint ventures. He counsels commodity pool operators and trading advisers as well as proprietary trading and investment advisory firms.

In addition, Rong prepares and negotiates investment management, joint venture and employment agreements. He advises clients on matters of exemption, registration and compliance of various securities acts. These include Securities Act of 1933, Securities Exchange Act of 1934, Investment Advisers Act of 1940, Investment Company Act of 1940, Commodities Exchange Act and subsequent regulations.

#### **News**

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- Katten Represents Vivaldi Holdings in Joint Venture Combination With First Trust Capital Partners (December 2, 2021)
- Katten Represents IMF Bentham in \$57 Million Portfolio Sale (February 8, 2018)

#### **Practices**

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- Cryptoassets and Blockchain Technology
- Financial Markets and Funds
- Futures and Derivatives
- Investment Management and Trading
- Proprietary Trading Firms

#### **Education**

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- JD, Georgetown University Law Center
- BA, The University of Chicago

#### **Bar Admissions**

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- Illinois